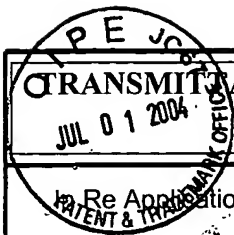


18w

<div style="display: flex; justify-content: space-between; align-items: center;"><div style="text-align: left;"><div style="border: 1px solid black; border-radius: 50%; padding: 5px; display: inline-block; transform: rotate(-45deg); transform-origin: center;">P E JUL 01 2004 OFFICE OF PATENT & TRADEMARKS</div><div>TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT (Under 37 CFR 1.97(b) or 1.97(c))</div></div><div style="text-align: right; padding-right: 20px;">Docket No. 112713-894</div></div>			
In Re Application Of: Kipp et al.			
Serial No. 10/806,050	Filing Date March 22, 2004	Examiner Unknown	Group Art Unit Unknown
Title: METHODS AND APPARATUSES FOR THE COMMINATION AND STABILIZATION OF SMALL PARTICLES			
<p>Address to:</p> <p>Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450</p> <p>37 CFR 1.97(b)</p> <p>1. <input checked="" type="checkbox"/> The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.</p> <p>37 CFR 1.97(c)</p> <p>2. <input type="checkbox"/> The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:</p> <p style="margin-left: 40px;"><input type="checkbox"/> the statement specified in 37 CFR 1.97(e);</p> <p style="text-align: center; margin: 10px 0;">OR</p> <p style="margin-left: 40px;"><input type="checkbox"/> the fee set forth in 37 CFR 1.17(p).</p>			



TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT
(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.
112713-894

Re Application: **Kipp et al.**

Serial No.
10/806,050

Filing Date
March 22, 2004

Examiner
Unknown

Group Art Unit
Unknown

**METHODS AND APPARATUSES FOR THE COMMINATION AND STABILIZATION OF
SMALL PARTICLES**

Payment of Fee

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

- ☐ A check in the amount of _____ is attached.
- ☒ The Director is hereby authorized to charge and credit Deposit Account No. **02-1818**
as described below.
- ☐ Charge the amount of _____
- ☒ Credit any overpayment.
- ☒ Charge any additional fee required.

Certificate of Transmission by Facsimile*

I certify that this document and authorization to charge deposit
account is being facsimile transmitted to the United States
Patent and Trademark Office (F:

(Date)

Signature

Typed or Printed Name of Person Signing Certificate

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I certify that this document and fee is being deposited
on **June 28, 2004** with the U.S. Postal Service
as first class mail under 37 C.F.R. 1.8 and is
addressed to the Commissioner for Patents, P.O. Box
1450, Alexandria, VA 22313-1450.


Signature of Person Mailing Correspondence

Renee Street

Typed or Printed Name of Person Mailing Certificate

***This certificate may only be used if paying by
deposit account.**


Signature

Dated: **June 28, 2004**

Robert M. Barrett
Reg. No. (30,142)
Bell, Boyd & Lloyd, LLC
P. O. Box 1135
Chicago, Illinois 60690-1135
(312) 807-4204

CC:



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Kipp et al.
Appl. No.: 10/806,050
Conf. No.: Unknown
Filed: March 22, 2004
Title: METHODS AND APPARATUSES FOR THE COMMUNITION AND
STABILIZATION OF SMALL PARTICLES
Art Unit: Unknown
Examiner: Unknown
Docket No.: 112713-894 (formerly 113959-41)

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

Sir:

In accordance with the provisions of 37 C.F.R. 1.56, 37 C.F.R. 1.97, and 37 C.F.R. 1.98, Applicants request that a citation and examination of the references cited below, and on the attached PTO-1449 form, be made during the course of examination of the above-identified application for United States patent. Pursuant to the Official Gazette Notice dated August 5, 2003, copies of the cited U.S. patents and patent applications are not included as this application was filed after June 30, 2003. However, copies of all other cited references are included with this form.

U.S. PATENT DOCUMENTS

<u>Document No.</u>	<u>Date</u>	<u>Inventor</u>
4,908,154	March 13, 1990	Cook et al.
5,314,506	May 24, 1994	Midler, Jr. et al.
5,720,551	February 24, 1998	Shechter
5,780,062	July 14, 1998	Frank et al.
5,858,410	January 12, 1999	Muller et al.
6,443,610 B1	September 3, 2002	Shechter et al.
6,221,332	April 24, 2001	Thumm et al.

OTHER DOCUMENTS

Gruverman, Irwin J.: *Breakthrough Ultraturbulent Reaction Technology Opens Frontier Developing Life-Saving Nanometer-Scale Suspensions & Dispersions, Drug Delivery Technology*, printed on March 7, 2003, pp. 1-7 from www.drugdeliverytech.com

Emulsifying Cell Operating Manual, Best Emulsifying Equipment International, Midgdal Haemek, Israel, pp. 3-21

Applicants look forward to early and favorable consideration of this matter.

Respectfully submitted,

BELL, BOYD & LLOYD LLC

BY 

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Dated: June 28, 2004

INFORMATION DISCLOSURE CITATION IN AN APPLICATION (Use several sheets if necessary) PTO Form 1449	Atty Docket No. 112713-894 (113959-41)	Application No. 10/806,050
	Applicant Kipp et al.	
	Filing Date March 22, 2004	Group Unknown

U.S. PATENT DOCUMENTS							
Examiner's Initials		Document Number	Publication Date	Inventor	Class	Subclass	Filing Date If Appropriate
		4,908,154	Mar. 13, 1990	Cook et al.			
		5,314,506	May 24, 1994	Midler, Jr. et al.			
		5,720,551	Feb. 24, 1998	Shechter			
		5,780,062	Jul. 14, 1998	Frank et al.			
		5,858,410	Jan. 12, 1999	Muller et al.			
		6, 221, 332	April 24, 2001	Thumm et al.			

Examiner's Initials		OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)
		Gruverman, Irwin J.: Breakthrough Ultraturbulent Reaction Technology Opens Frontier Developing Life-Saving Nanometer-Scale Suspensions & Dispersions, Drug Delivery Technology, printed on March 7, 2003, pp. 1-7 from www.drugdeliverytech.com.
		Emulsifying Cell Operating Manual, Best Emulsifying Equipment International, Midgdal Haemek, Israel, pp. 3-21

Examiner:	Date Considered:
*Examiner: Initial if citation considered, whether or not citation is in conformance with MPEP Section 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.	